

2022 Governance and Audit Committee – Decision Tracker

Item	Decision	Lead Officer	Completion Date	BRAG Status	Comments
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Committee Date: 24-11-2022

Minutes

1	Email to Members to check insurance arrangements when they sit as PCC representatives on outside bodies	Jane Thomas			
2	That clarification be sought from the Housing Service regarding what action the Council could take regarding empty dwellings.	Andy Thompson			Email to Andy Thompson 30-12-2022 Response emailed to Members 09-01-2023
3	Review of Debt Policy – add to Forward Work programme	Wyn Richards			

Annual Governance Statement

1	That a report be presented to the Committee on the revised process for the AGS for 2022-23	Emma Palmer / Catherine James			
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Corporate Fraud

1	That Heads of Service be asked for an assurance that they were ensuring that staff had read the fraud awareness guidance on netconsent	Jane Thomas			Email sent to HOS 30-12-2022
2	The Assistant Director (SWAP) to provide an update on the fraud risk assessment for Powys	Ian Halstead			Email sent to Ian Halstead 30-12-2022

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Working Groups

1	Schedule of initial working group meetings to be prepared	Democratic Services			Arranged or being arranged
2	Each working group to determine its own work programme at the first meeting	Working Groups x4			Dates for Working Groups being established.
3	Add details of key contacts to the Terms of Reference.	Democratic Services			Completed

Work Programme

1	Identity of the risks subject to a deep dive to be included in the work programme.				
2	Deep dives 1 and 2 – officers to be contacted about dates as soon as possible.				Email sent 30-12-2022
3	The regulatory tracker be added to the work programme on a quarterly basis.	Wyn Richards			

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Committee Date: 30-09-2022

Minutes:

1	Head of Finance to provide an update to the Committee on insurance policies for Members on outside bodies.	Jane Thomas			
2	Strategic Asset Review – add to work programme for forthcoming meeting.	Wyn Richards			Scheduled for February 2023
3	Chair to discuss way forward with assurance Mapping with the Assistant Director (Powys) (SWAP).	Chair			
4	Risk Framework – Scrutiny Officer to recirculate to the Committee.	Wyn Richards			Emailed to Members
5	The Scrutiny Officer was asked to liaise with the Corporate Director (Economy and Environment) regarding the GCRE briefing and to circulate previous confidential reports to the Committee.	Wyn Richards			Circulated prior to Briefing.

Strategic Risk:

1	HOWPS Intellectual Property - The Head of Finance to request an update as to whether the transfer of this element of the project happened as expected.	Jane Thomas			Emailed to members 30-12-2022.
2	Integrated Business Planning Process – Head of Finance to provide a presentation to the	Jane Thomas			Programmed for 24-11-22.

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	Committee regarding the process – to be added to the work programme.				
3	Risk – series of deep dives into areas of risk on a quarterly basis to be added to the work programme starting with Social Care.	Wyn Richards / Jane Thomas			Scheduled in FWP

Committee Self Assessment:

1	That the action plan be monitored on a quarterly basis.	Wyn Richards			Scheduled in FWP
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Committee Date: 29-07-2022

Minutes:

1	Head of Finance to present a report to the Committee once the work on reviewing insurances for Members and officers has been finalised	Jane Thomas			
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Treasury Management:

1	Sundry Debt – in future reports can visual indicators be included so that the Committee can track trends	Jane Thomas			
2	Debt policy to be shared with the Committee	Martin Harding			Emailed to Committee 22-08-2022
3	GCRE – previous confidential reports to be shared with the Committee.	Wyn Richards			
4	Confidential briefing with the CEO of GCRE to be arranged for the Committee.	Wyn Richards			Arranged December 2022
5	Include creditor information (debt owed by the Council) in the sundry debt report as well as information about short term debtors.	Jane Thomas			

SWAP Reports:

1	Highways Presentation to be circulated to the Committee.	Ian Halstead			
2	Highways issues – to be discussed at a single item meeting of the Committee to which the Head of Service and Project Manager can be	Wyn Richards			

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	invited. Following that the Working Group can take this work forward.				

Monitoring and Review of Rising Energy Costs:

9	Contracts - The Head of Finance agreed to find out what information was available in terms of categorisation of contracts and could be shared with the Committee	Jane Thomas			
10	Budget Seminars – that all of the Lay Members be invited to the budget seminars for Members.	Jane Thomas / Steve Boyd			

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Committee Date: 24-06-2022

Minutes:

1	Review Committee self assessment and develop an action plan	Jane Thomas	July 2022		
2	Items to add to the Forward Work Programme: <ul style="list-style-type: none"> • Net Zero / Passive House • Strategic Asset Review • Insurance Policy Arrangements • Establish Capital Working Group • CIW Report 	Wyn Richards	June 2022		Added to List of potential items for FWP.

Strategic Risk:

3	Risk Management Framework to be circulated to the Committee for information	Bets Ingram / Wyn Richards			
4	Consideration of a deep-dive into Social Care.	LH / JT / WR			Added to FWP

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Committee Date: 13-06-2022

Appointment of Internal Audit Working Group:

1	<p>(i) that an Internal Audit Working Group be established to meet as and when required;</p> <p>(ii) that membership of the Working Group be determined on a subject by subject basis;</p> <p>(iii) that a skills audit of members be undertaken;</p> <p>(iv) that the Working Group comprise between 3 and 5 Members as a general principle;</p> <p>(v) that the Working Group should comprise a minimum of 1 Lay Member and 1 Councillor.</p>				<p>(i), (ii), (iv) and (v) Working Group established and membership agreed.</p> <p>(iii) Skills audit of Members undertaken – results to be reported to Committee in 2023.</p>
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